# Today's topics

- Orders of growth of processes
- Relating types of procedures to different orders of growth

# **Computing factorial**

Takes longer to run as n gets larger, but still manageable for large n
 (e.g. n = 10000 – takes about 13 seconds of "real time" in DrScheme;
 while n = 1000 – takes about 0.2 seconds of "real time")

#### Fibonacci numbers

The Fibonacci numbers are described by the following equations:

$$fib(0) = 0$$
  
 $fib(1) = 1$   
 $fib(n) = fib(n-2) + fib(n-1)$  for  $n \ge 2$ 

Expanding this sequence, we get

$$fib(0) = 0$$
  
 $fib(1) = 1$   
 $fib(2) = 1$   
 $fib(3) = 2$   
 $fib(4) = 3$   
 $fib(5) = 5$   
 $fib(6) = 8$   
 $fib(7) = 13$ 

. . .

# A contrast to (fact n): computing Fibonacci

```
(define (fib n)
    (if (= n 0))
        (if (= n 1)
             (+ (fib (- n 1)) (fib (- n 2))))))

    We can run this for various values of n:

       (fib 10)
       (fib 20)
       (fib 100)
       (fib 1000)
```

These take much longer to run as n gets larger

# A contrast: computing Fibonacci

Later we'll see that when calculating (fib n), we need more than 2<sup>n/2</sup> addition operations

```
(fib 100) uses + at least 2^{50} times = 1,125,899,906,842,624 (fib 2000) uses + at least 2^{1000} times
```

 $=10,715,086,071,862,673,209,484,250,490,600,018,105,614,048,117,055,336,074,437,\\503,883,703,510,511,249,361,224,931,983,788,156,958,581,275,946,729,175,531,468,\\251,871,452,856,923,140,435,984,577,574,698,574,803,934,567,774,824,230,985,421,\\074,605,062,371,141,877,954,182,153,046,474,983,581,941,267,398,767,559,165,543,\\946,077,062,914,571,196,477,686,542,167,660,429,831,652,624,386,837,205,668,069,\\376$ 

# Computing Fibonacci: putting it in context

- A rough estimate: the universe is approximately 10<sup>10</sup> years = 3x10<sup>17</sup> seconds old
- Fastest computer around (not your laptop) can do about 280x10<sup>12</sup> arithmetic operations a second, or about 10<sup>32</sup> operations in the lifetime of the universe
- $2^{100}$  is roughly  $10^{30}$
- So with a bit of luck, we could run (fib 200) in the lifetime of the universe ...
- A more precise calculation gives around 1000 hours to solve (fib 100)
- That is 1000 6.001 lectures, or 40 semesters, or 20 years of 6.001 or ...

#### An overview of this lecture

- Measuring time requirements (complexity) of a function
- Simplifying the time complexity with asymptotic notation
- Calculating the time complexity for different functions
- Measuring space complexity of a function

# Measuring the time complexity of a function

- Suppose n is a parameter that measures the size of a problem
  - For fact and fib, n is just the procedure's parameter
- Let t(n) be the amount of time necessary to solve a problem of size n
- What do we mean by "the amount of time"? How do we measure "time"?
  - Typically, we will define t(n) to be the number of primitive operations (e.g. the number of additions) required to solve a problem of size n

# An example: factorial

- Define t(n) to be the number of multiplications required by (fact n)
- By looking at fact, we can see that:

$$t(0) = 0$$
  
 $t(n) = 1 + t(n-1)$  for  $n \ge 1$ 

• In other words: solving (fact n) for any  $n \ge 1$  requires one more multiplication than solving (fact (-n 1))

# **Expanding the recurrence**

$$t(0) = 0$$
  
 $t(n) = 1 + t(n-1)$  for  $n > = 1$   
 $t(0) = 0$   
 $t(1) = 1 + t(0) = 1$   
 $t(2) = 1 + t(1) = 2$   
 $t(3) = 1 + t(2) = 3$   
...

In general:

## **Expanding the recurrence**

$$t(0) = 0$$
  
 $t(n) = 1 + t(n-1)$  for  $n > 1$ 

- How would we prove that t(n) = n for all n?
- Proof by induction (remember from last lecture?):
  - Base case: t(n) = n is true for n = 0
  - **Inductive step:** if t(n) = n then it follows that t(n+1) = n+1
  - Hence by induction this is true for all n

# A second example: Computing Fibonacci

- Define t(n) to be the number of primitive operations (=,+,-) required by (fib n)
- By looking at fib, we can see that:

```
t(0) = 1

t(1) = 2

t(n) = 5 + t(n-1) + t(n-2) for n \ge 2
```

In other words: solving (fib n) for any n ≥ 2 requires 5 more primitive ops than solving (fib (- n 1)) and solving (fib (- n 2))

## Looking at the Recurrence

$$t(0) = 1$$
  
 $t(1) = 2$   
 $t(n) = 5 + t(n-1) + t(n-2)$  for  $n \ge 2$ 

- We can see that  $t(n) \ge t(n-1)$  for all  $n \ge 2$
- So, for  $n \ge 2$ , we have

$$t(n) = 5 + t(n-1) + t(n-2)$$
  
  $\ge 2 t(n-2)$ 

- Every time n increases by 2, we more than double the number of primitive ops that are required
- If we iterate the argument, we get

$$t(n) \ge 2 t(n-2) \ge 4 t(n-4) \ge 8 t(n-6) \ge 16 t(n-8) \dots$$

A little more math shows that

$$t(n) \geq 2^{n/2}$$

#### **Different Rates of Growth**

• So what does it **really mean** for things to grow at different rates?

n	t(n) = log n	t(n) = n	$t(n) = n^2$	$t(n) = n^3$	$t(n)=2^n$
	(logarithmic)	(linear)	(quadratic)	(cubic)	(exponential)
1	0	1	1	1	2
10	3.3	10	100	1000	1024
100	6.6	100	10,000	10^6	~10^30
1,000	10.0	1,000	10^6	10^9	~10^300
10,000	13.3	10,000	10^9	10^12	~10^3,000
100,000	16.68	100,000	10^12	10^15	~10^30,000

## **Asymptotic Notation**

Formal definition:

```
We say t(n) has order of growth \Theta(f(n)) if there are constants N, k_1 and k_2 such that for all n \ge N, we have k_1 f(n) \le t(n) \le k_2 f(n)
```

- This is what we call a tight asymptotic bound.
- Examples

```
t(n)=n has order of growth \Theta(n)
because 1n \le t(n) \le 1n for all n \ge 1 (pick N=1, k_1=1, k_2=1)
t(n)=8n has order of growth \Theta(n)
because 8n \le t(n) \le 8n for all n \ge 1 (pick N=1, k_1=8, k_2=8)
```

# **Asymptotic Notation**

Formal definition:

We say t(n) has order of growth  $\Theta(f(n))$  if there are constants N,  $k_1$  and  $k_2$  such that for all  $n \ge N$ , we have  $k_1 f(n) \le t(n) \le k_2 f(n)$ 

More examples

```
t(n)=3n^2 has order of growth \Theta(n^2) because 3n^2 \le t(n) \le 3n^2 for all n \ge 1 (pick N=1, k<sub>1</sub>=3, k<sub>2</sub>=3) t(n)=3n^2+5n+3 has order of growth \Theta(n^2) because 3n^2 \le t(n) \le 4n^2 for all n \ge 6 (pick N=6, k<sub>1</sub>=3, k<sub>2</sub>=4) or because 3n^2 \le t(n) \le 11n^2 for all n \ge 1 (pick N=1, k<sub>1</sub>=3, k<sub>2</sub>=11)
```

## Theta, Big-O, Little-o

- $\Theta(f(n))$  is called a tight asymptotic bound because it squeezes t(n) from above and below:
  - $\Theta(f(n))$  means  $k_1 f(n) \le t(n) \le k_2 f(n)$  "theta"
- We can also talk about the upper bound or lower bound separately
  - O(f(n)) means  $t(n) \le k_2 f(n)$  "big-O"
  - $\Omega(f(n))$  means  $k_1 f(n) \le t(n)$  "omega"
- Sometimes we will abuse notation and use an upper bound as our approximation
  - We should really use "big-O" notation in that case, saying that t(n) has order of growth O(f(n)), but we are sometimes sloppy and call this O(f(n)) growth.

#### **Motivation**

 In many cases, calculating the precise expression for t(n) is laborious, e.g.:

$$t(n) = 5n^3 + 6n^2 + 8n + 7$$
  $t(n) = 4n^3 + 18n^2 + 14$ 

- In both of these cases, t(n) has order of growth  $\Theta(n^3)$
- Advantages of asymptotic notation
  - In many cases, it's much easier to show that t(n) has a particular order of growth, e.g., cubic, rather than calculating a precise expression for t(n)
  - Usually, the order of growth is **what we really care about**: the most important thing about the above functions is that they are both **cubic** (i.e., have order of growth  $\Theta(n^3)$ )

# Some common orders of growth

- $\Theta(1)$  Constant
- $\Theta(\log n)$  Logarithmic growth
- $\Theta(n)$  Linear growth
- $\Theta(n^2)$  Quadratic growth
- $\Theta(n^3)$  Cubic growth
- $\Theta(2^n)$  Exponential growth
- $\Theta(\alpha^n)$  Exponential growth for any  $\alpha > 1$

# An example: factorial

- Define t(n) to be the number of multiplications required by (fact n)
- By looking at fact, we can see that:

$$t(0) = 0$$
  
 $t(n) = 1 + t(n-1)$  for  $n >= 1$ 

• Solving this recurrence gives t(n) = n, so order of growth is  $\Theta(n)$ 

# A general result: linear growth

For any recurrence of the form

$$t(0) = c_1$$
  
 $t(n) = c_2 + t(n-1)$  for  $n \ge 1$ 

where  $c_1$  is a constant  $\geq 0$  and  $c_2$  is a constant > 0

Then we have linear growth, i.e.,

### $\Theta(n)$

#### Why?

- If we expand this out, we get  $t(n) = c_1 + nc_2$
- And this has order of growth  $\Theta(n)$

# Connecting orders of growth to algorithm design

- We want to compute a<sup>b</sup>, just using multiplication and addition
- Remember our stages:
  - Wishful thinking
  - Decomposition
  - Smallest sized subproblem

# Connecting orders of growth to algorithm design

- Wishful thinking
  - Assume that the procedure my-expt exists, but only solves smaller versions of the same problem
- Decompose problem into solving smaller version and using result

# Connecting orders of growth to algorithm design

Identify smallest size subproblem

## The order of growth of my-expt

- Define the size of the problem to be *n* (the second parameter)
- Define t(n) to be the number of primitive operations required
   (=,\*,-)
- By looking at the code, we can see that t(n) has the form: t(0) = 1  $t(n) = 3 + t(n-1) \text{ for } n \ge 1$
- Hence this is also linear

# Using different processes for the same goal

- Are there other ways to decompose this problem?
- We can take advantage of the following trick:

$$a^n = (a \cdot a)^{\frac{n}{2}}$$

#### **New special form:**

### The order of growth of new-expt

- If *n* is even, then 1 step reduces to *n*/2 sized problem
- If *n* is odd, then 2 steps reduces to *n*/2 sized problem
- Thus in at most 2k steps, reduces to n/2\(^k\) sized problem
- We are done when problem size is just 1, which implies order of growth in time of

 $\Theta(\log n)$ 

## The order of growth of new-expt

• *t*(*n*) has the following form:

$$t(0) = 1$$

$$t(n) = 4 + t(n/2) \text{ if } n \text{ is even}$$

$$t(n) = 4 + t(n-1) \text{ if } n \text{ is odd}$$

It follows that

$$t(n) = 8 + t((n-1)/2)$$
 if *n* is odd

# A general result: logarithmic growth

For any recurrence of the form

$$t(0) = c_1$$
  
 $t(n) = c_2 + t(n/2)$  for  $n \ge 1$   
where  $c_1$  is a constant  $\ge 0$   
and  $c_2$  is a constant  $> 0$   
Then we have **logarithmic growth**, i.e.,  $\Theta(\log n)$ 

- Intuition: at each step we halve the size of the problem
- We can only halve n around log n times before we reach the base case (e.g. n=1 or n=0)

#### **Different Rates of Growth**

Note why this makes a difference

n	t(n) = log n	t(n) = n	$t(n) = n^2$	$t(n) = n^3$	$t(n) = 2^n$
	(logarithmic)	(linear)	(quadratic)	(cubic)	(exponential)
1	0	1	1	1	2
10	3.3	10	100	1000	1024
100	6.6	100	10,000	10^6	1.3 x 10^30
1,000	10.0	1,000	10^6	10^9	1.1 x 10^300
10,000	13.3	10,000	10^9	10^12	
100,000	16.68	100,000	10^12	10^15	

#### **Back to Fibonacci**

If t(n) is defined as the number of primitive operations (= , + , -), then:

$$t(0) = 1$$
  
 $t(1) = 2$   
 $t(n) = 5 + t(n-1) + t(n-2)$  for  $n \ge 2$ 

• And for  $n \ge 2$  we have

$$t(n) \ge 2t(n-2)$$

# Another general result: exponential growth

If we can show:

$$t(0) = c_1$$
  
 
$$t(n) \ge c_2 + \alpha t(n - \beta) \text{ for } n \ge 1$$

with constants  $c_1 \ge 0$ ,  $c_2 > 0$ , and constant  $\alpha > 1$  and constant  $\beta \ge 1$ 

Then we have **exponential growth**, i.e.,

$$\Omega(\alpha^{n/\beta})$$

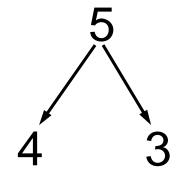
• Intuition: Every time we **add**  $\beta$  to the problem size n, the amount of computation required is **multiplied** by a factor of  $\alpha$ .

# Why is our version of fib so inefficient?

- When computing (fib 6), the recursion computes (fib 5) and (fib 4)
- The computation of (fib 5) then involves computing (fib 4) and (fib 3). At this point (fib 4) has been computed twice. Isn't this wasteful?

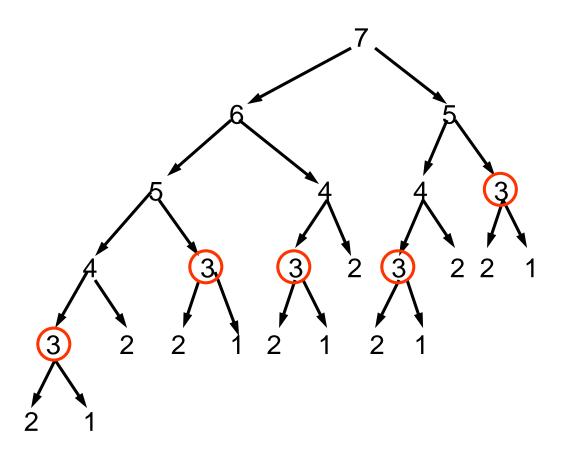
# Why is our version of fib so inefficient?

- Let's draw the computation tree: the subproblems that each (fib n) needs to call
- We'll use the notation



...to signify that computing (fib 5) involves recursive calls to (fib 4) and (fib 3)

## The computation tree for (fib 7)



• There's a lot of repeated computation here: e.g., (fib 3) is recomputed 5 times

# An efficient implementation of Fibonacci

Recurrence (measured in number of primitive operations):

$$t(0) = 1$$
  
 $t(n) = 3 + t(n-1)$  for  $n \ge 1$ 

Order of growth is

$$\Theta(n)$$

#### ifib is now linear

 If you trace the function, you will see that we avoid repeated computations. We've gone from exponential growth to linear growth!

```
(ifib 5)
(fib-iter 0 1 0 5)
(fib-iter 1 1 1 5)
(fib-iter 2 2 1 5)
(fib-iter 3 3 2 5)
(fib-iter 4 5 3 5)
(fib-iter 5 8 5 5)
```

## How much space (memory) does a procedure require?

- So far, we have considered the order of growth of t(n) for various procedures. T(n) is the time for the procedure to run, when given an input of size n.
- Now, let's define s(n) to be the space or memory requirements of a procedure when the problem size is n. What is the order of growth of s(n)?
- Note that for now we will measure space requirements in terms of the maximum number of pending operations.

### **Tracing factorial**

```
(define (fact n)
   (if (= n 0))
        (* n (fact (- n 1)))))

    A trace of fact shows that it leads to a recursive process, with

 pending operations.
(fact 4)
(* 4 (fact 3))
(* 4 (* 3 (fact 2)))
(* 4 (* 3 (* 2 (fact 1))))
(* 4 (* 3 (* 2 (* 1 (fact 0)))))
(* 4 (* 3 (* 2 (* 1 1))))
(* 4 (* 3 (* 2 1)))
```

• • •

### **Tracing factorial**

In general, running (fact n) leads to n pending operations

Each pending operation takes a constant amount of memory

• In this case, s(n) has order of growth that is linear in space:

 $\Theta(n)$ 

#### A contrast: iterative factorial

#### A contrast: iterative factorial

```
A trace of (ifact 4):
(ifact 4)
(ifact-helper 1 1 4)
(ifact-helper 1 2 4)
(ifact-helper 2 3 4)
(ifact-helper 6 4 4)
(ifact-helper 24 5 4)
```

- (ifact n) has no pending operations, so s(n) has an order of growth that is constant  $\Theta(1)$
- Its time complexity t(n) is  $\Theta(n)$
- In contrast, (fact n) has linear growth in both space and time  $\Theta(n)$
- In general, iterative processes often have a lower order of growth for s(n) than recursive processes

### **Summary**

- We've described how to calculate t(n), the time complexity of a procedure as a function of the size of its input
- We've introduced asymptotic notation for orders of growth
- There is a **huge** difference between exponential order of growth and non-exponential growth, e.g., if your procedure has

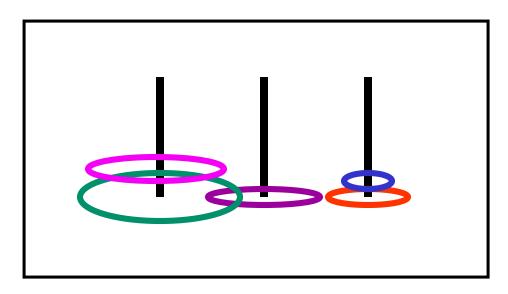
$$t(n) = \Theta(2^n)$$

You will not be able to run it for large values of *n*.

- We've given examples of procedures with linear, logarithmic, and exponential growth for t(n). Main point: you should be able to work out the order of growth of t(n) for simple procedures in Scheme
- The space requirements s(n) for a procedure depend on the number of pending operations. Iterative processes tend to have fewer pending operations than their corresponding recursive processes.

#### **Towers of Hanoi**

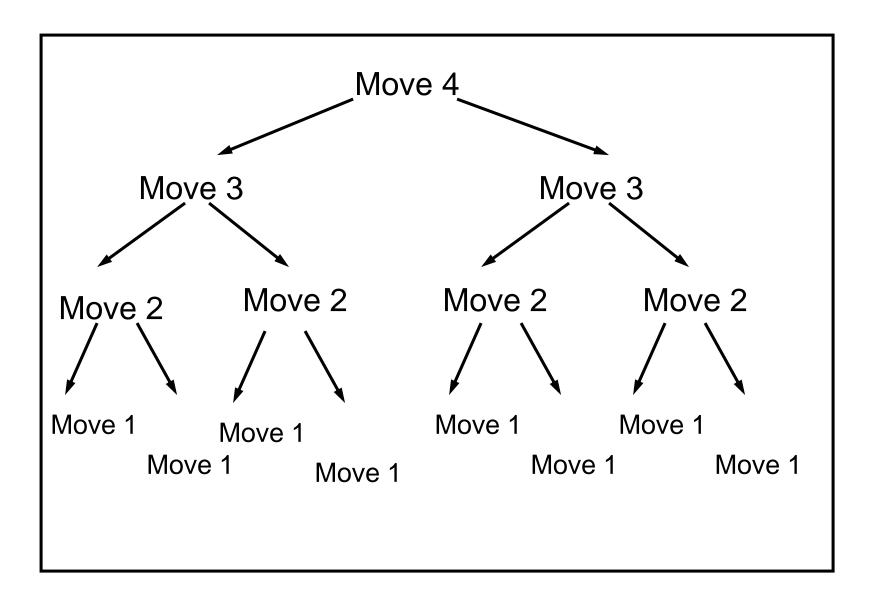
- Three posts, and a set of different size disks
- Any stack must be sorted in decreasing order from bottom to top
- The goal is to move the disks one at a time, while preserving these conditions, until the entire stack has moved from one post to another



#### **Towers of Hanoi**

```
(define move-tower
   (lambda (size from to extra)
       (cond ((= size 0) true)
             (else (move-tower (- size 1) from extra to)
                   (print-move from to)
                   (move-tower (- size 1) extra to from)))))
(define print-move
    (lambda (from to)
       (display ``Move top disk from ``)
       (display from)
       (display `` to ``)
       (display to)
       (newline)))
```

#### A tree recursion



### Orders of growth for towers of Hanoi

- What is the order of growth in time for towers of Hanoi?
- What is the order of growth in space for towers of Hanoi?

## Another example of different processes

 Suppose we want to compute the elements of Pascal's triangle

```
1
1 1
1 2 1
1 3 3 1
1 4 6 4 1
1 5 10 10 5 1
1 6 15 20 15 6 1
```

### Pascal's triangle

- We need some notation
  - Let's order the rows, starting with n=0 for the first row
  - The nth row then has n+1 elements
  - Let's use P(j,n) to denote the jth element of the nth row.
  - We want to find ways to compute P(j,n) for any n, and any j, such that 0 <= j <= n</li>

### Pascal's triangle the traditional way

- Traditionally, one thinks of Pascal's triangle being formed by the following informal method:
  - The first element of a row is 1
  - The last element of a row is 1
  - To get the second element of a row, add the first and second element of the previous row
  - To get the k'th element of a row, and the (k-1)'st and k'th element of the previous row

### Pascal's triangle the traditional way

Here is a procedure that just captures that idea:

### Pascal's triangle the traditional way

- What kind of process does this generate?
- Looks a lot like fibonacci
  - There are two recursive calls to the procedure in the general case
  - In fact, this has a time complexity that is exponential and a space complexity that is linear

- Can we do better?
- Yes, but we need to do some thinking.
  - Pascal's triangle actually captures the idea of how many different ways there are of choosing objects from a set, where the order of choice doesn't matter.
  - P(0, n) is the number of ways of choosing collections of no objects, which is trivially 1.
  - P(n, n) is the number of ways of choosing collections of n objects, which is obviously 1, since there is only one set of n things.
  - P(j, n) is the number of ways of picking sets of j objects from a set of n objects.

- So what is the number of ways of picking sets of j objects from a set of n objects?
  - Pick the first one there are n possible choices
  - Then pick the second one there are (n-1) choices left.
  - Keep going until you have picked j objects

$$n(n-1)...(n-j+1) = \frac{n!}{(n-j)!}$$

But the order in which we pick the objects doesn't matter, and there
are j! different orders, so we have

$$\frac{n!}{(n-j)! \, j!} = \frac{n(n-1)...(n-j+1)}{j(j-1).... \, 1}$$

So here is an easy way to implement this idea:

- What is complexity of this approach?
  - Three different evaluations of fact
  - Each is linear in time and in space
  - So combination takes 3n steps, which is also linear in time; and has at most n deferred operations, which is also linear in space

What about computing with a different version of fact?
 (define pascal

- What is complexity of this approach?
  - Three different evaluations of fact
  - Each is linear in time and constant in space
  - So combination takes 3n steps, which is also linear in time; and has no deferred operations, which is also constant in space

### Solving the same problem the direct way

$$\frac{n!}{(n-j)! \, j!} = \frac{n(n-1)...(n-j+1)}{j(j-1).... \, 1}$$

Now, why not just do the computation directly?

# Solving the same problem the direct way

- So what is complexity here?
  - Help is an iterative procedure, and has constant space and linear time
  - This version of Pascal only uses two versions of help (as opposed the previous version that used three versions of ifact).
  - In practice, this means this version uses fewer multiplies that the previous one, but it is still linear in time, and hence has the same order of growth.

### So why do these orders of growth matter?

- Main concern is general order of growth
  - Exponential is very expensive as the problem size grows.
  - Some clever thinking can sometimes convert an inefficient approach into a more efficient one.
- In practice, actual performance may improve by considering different variations, even though the overall order of growth stays the same.